

Summary of Internal Audit work up to 31 August 2016

Appendix 1

<u>Department</u>	<u>Entity</u>	<u>Final report issued (or position @ 31/8/16)</u>	<u>Opinion</u>	<u>HI recommendation</u>
Adults & Communities	Social Care Capital Grant No.31/2534	11-Jul-16	Complete	
Children & Family Services	2016/17 Home Care Payments	Draft issued 15-Jun-16	Substantial Assurance	
Children & Family Services	Buckminster Primary School	Draft issued 20-Jul-17	Substantial Assurance	
Children & Family Services	Congerstone Primary School	18-Aug-16	Substantial Assurance	
Children & Family Services	Fleckney CE Primary School	05-Jul-16	Substantial Assurance	
Children & Family Services	Little Bowden School	08-Jul-16	Substantial Assurance	
Children & Family Services	St Marys CE Primary School Hinckley	Draft issued 26-Jul-17	Substantial Assurance	
Children & Family Services	Thurnby St Lukes Primary School	08-Sep-16	Substantial Assurance	
Children & Family Services	Water Leys Primary School	11-Jul-16	Substantial Assurance	
Consolidated Risk	2015/16 Annual Governance Statement	22-Jun-16	Substantial	
Consolidated Risk	Doing the Basics Well – Income Remittance Statements	18-Aug-16	Complete	
Consolidated Risk	Key Financial System - Assurance on the Control Functions	12-Aug-16	Complete	
Consolidated Risk	IT Asset Management and Configuration	11-Dec-15	Substantial Assurance	
Consolidated Risk	Performance Management incl. Balanced Scorecard	15-Jun-16	Substantial Assurance	
Corporate Resources	16/17 Carbon Reduction Targets	06-Jul-16	Complete	
Corporate Resources	Management of ASC Safeguarding Cases by CSC	28/07/16	Substantial Assurance	
Corporate Resources	School's Capital Programme	16-May-16	Partial Assurance	Yes
Corporate Resources	ISRA – Blue Badge	09-Jun-16	Substantial Assurance	
Corporate Resources	ISRA – CAPITA API Solution	27-May-16	Substantial Assurance	
Corporate Resources	ISRA - Capita Citizen Portal	27-May-16	Substantial Assurance	
Corporate Resources	ISRA - Microsoft Direct Access	01-Jun-16	Substantial Assurance	
Corporate Resources	ISRA - Outsourced Debt Collection Thornton Hope	09-Jul-16	Substantial Assurance	
Corporate Resources	ISRA - Pi CareTrak	27-May-16	Substantial Assurance	
Corporate Resources	ISRA – Text Messaging CSC	09-Jun-16	Substantial Assurance	
Corporate Resources	ISRA – Driver Education Workshop	28-Jul-16	Substantial Assurance	
Public Health	First Contact Plus	18-Aug-16	Substantial Assurance	

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Work deferred into 16/17

Consolidated Risk	Comissioning & Procurement Strategy
Consolidated Risk	Commodity IT
Consolidated Risk	Faster Payments System
Consolidated Risk	ICT External Hosting & Associated Contractual Obligations
Environment & Transport	Stock Control Process

Consulting & assitance to others

Consolidated Risk	Combined Authority & Devolution Deals	Consulting
Adults & Communities	Help to Live at Home Project	Consulting
Adults & Communities	Review of Long Term Residential Placement Costs	Consulting
Adults & Communities	Termination of Provider Managed Accounts	Consulting
Consolidated Risk	The Independent Inquiry into Child Sexual Abuse	Consulting
Corporate Resources	Homecare payments	Assistance
Corporate Resources	Insurance – Claims Investigating	Assistance